

No: 081 /DHG-FN

Cantho, 26<sup>th</sup> January 2018

**REPORT ON CORPORATE GOVERNANCE  
OF DHG PHARMACEUTICAL JOINT STOCK COMPANY  
(In 2017)**

**Kind Attn to:**

- **State Securities Commission**
- **Ho Chi Minh City Stock Exchange**

- Company's name: DHG Pharmaceutical Joint Stock Company
- Address of head office: 288 Bis Nguyen Van Cu Str., An Hoa Ward, Ninh Kieu Dist., Cantho City
- Tel: 02923 891433 Fax: 02923 895209
- Email: [dhgpharma@dhgpharma.com.vn](mailto:dhgpharma@dhgpharma.com.vn)
- Charter capital: **VND 1,307,460,710,000**
- Stock ticker: DHG

**I. Activities of the General Meeting of Shareholders:**

| No. | Resolutions           | Date        | Contents  |
|-----|-----------------------|-------------|---|
| 1.  | 001/2017/NQ.<br>ĐHĐCĐ | 18 Apr 2017 | <p>The 2016 Annual General Meeting of Shareholders (AGM) approved the following contents:</p> <ul style="list-style-type: none"> <li>- Report of the Board of Directors (BOD) on the Company's business result in 2016; Operation and remuneration of the BOD in 2016; Investment plan and business targets in 2017.</li> <li>- Report of the Board of Supervisory (BOS) in 2016.</li> <li>- Audited financial statements in 2016.</li> <li>- Profit distribution statement of 2016 and profit distribution plan of 2017.</li> <li>- Statement for selecting an independent audit firm to make the 2017 financial statements.</li> <li>- Approving the redemption plan of treasury shares and favorable sales of shares for employees.</li> <li>- Approving the plan to issue shares to increase capital from owner's equity. Issued rate 2:1.</li> <li>- Approving the resignation of BOD members in term III (2014-2018) of Ms. Dang Pham Minh Loan and Mr. Shuhei Tabata.</li> <li>- Approving the additional election of Mr. Jun Kuroda as a BOD member in term III (2014-2018).</li> <li>- Approval of the policy to open foreign ownership limit (FOL) up to over 49%.</li> </ul> |

|    |                       |             |   |
|----|-----------------------|-------------|---|
| 2. | 002/2017/NQ.<br>ĐHĐCĐ | 28 Jul 2017 | The Extraordinary General Meeting by solicitation of written consent for the 1 <sup>st</sup> time in 2017 approved the following contents: <ul style="list-style-type: none"> <li>– Opening the FOL up to 100%.</li> <li>– Approving the adjustment of business lines from 01 Jan 2018.</li> <li>– Approving the amendment and supplement of the Charter.</li> </ul>  |
| 3. | 003/2017/NQ.<br>ĐHĐCĐ | 25 Oct 2017 | The Extraordinary General Meeting by solicitation of written consent for the 2 <sup>nd</sup> time in 2017 approved the following contents: <ul style="list-style-type: none"> <li>– Approving the dismissal of Mr. Hoang Nguyen Hoc and Mr. Le Dinh Buu Tri as BOD members.</li> <li>– Approval of the title of Ms. Dang Thi Thu Ha and additional election of Mr. Nguyen Chi Thanh as BOD members in Term III (2014 – 2018).</li> <li>– Approving the BOD's report on the dismissal of Mdm. Pham Thi Viet Nga as General Director and Legal Representative from 01 Sept 2017, and the appointment of Mr. Doan Dinh Duy Khuong as Acting General Director and Legal Representative within 6 months starting from 01 Sept 2017.</li> </ul> |

## II. The Board of Directors (In 2017):

### 1. Information about members of the Board of Directors

| No. | BOD members              | Positions                         | Starting date to be a BOD member | Meeting Attendance | Rate  | Reason for absence                      |
|-----|--------------------------|-----------------------------------|----------------------------------|--------------------|-------|---|
| 1.  | Mr. Hoang Nguyen Hoc     | Chairman                          | 28 Apr 2014                      | 15/18              | 83.3% | Dismissal from 25 Oct 2017              |
| 2.  | Mr. Nguyen Chi Thanh     | Chairman                          | 26 Oct 2017                      | 03/18              | 16.7% | Additional appointment from 26 Oct 2017 |
| 3.  | Mr. Le Dinh Buu Tri      | Vice Chairman                     | 25 Apr 2009                      | 15/18              | 83.3% | Dismissal from 25 Oct 2017              |
| 4.  | Mdm. Pham Thi Viet Nga   | Member                            | 08 Sept 2004                     | 18/18              | 100%  |   |
| 5.  | Mr. Doan Dinh Duy Khuong | Member<br>Acting General Director | 29 Apr 2010                      | 18/18              | 100%  |   |
| 6.  | Mr. Tran Chi Liem        | Independent member                | 28 Apr 2014                      | 18/18              | 100%  |   |
| 7.  | Mr. Shuhei Tabata        | Independent member                | 28 Apr 2014                      | 02/18              | 11.1% | Resignation from 24 Mar 2017            |
| 8.  | Mr. Jun Kuroda           | Member                            | 15 Aug 2016                      | 18/18              | 100%  |   |

| No. | BOD members         | Positions | Starting date to be a BOD member | Meeting Attendance | Rate  | Reason for absence                      |
|-----|---------------------|-----------|----------------------------------|--------------------|-------|---|
| 9.  | Ms. Dang Thi Thu Ha | Member    | 28 Jul 2017                      | 06/18              | 33.3% | Additional appointment from 28 Jul 2017 |

## 2. Supervising activities of the Board of Directors over the Board of Management (BOM)

Supervising and support activities of the BOD over the BOM include:

- Supervising the implementation of Resolutions of the General Meeting of Shareholders, Minutes and Resolutions of the BOD.
- Evaluating and making suggestions on activities in management in terms of: investment, finance, market, sales, human resources, production, and quality.

## 3. Activities of Subcommittees under the Board of Directors

- The BOD in the term 2014-2018 has set up 03 Subcommittees, including: Strategic Subcommittee; Audit and Risk Management Subcommittee; Nomination and Remuneration Subcommittee. Quarterly, Subcommittees organize meetings and report to the BOD pursuant to the scope of work.
- Strategic Subcommittee: Develop a business plan in 2017 and review quarterly the implementation of the five-year strategy (2016-2020).
- Audit and Risk Management Subcommittee: Advising the BOD on personnel for Internal Audit Committee; Making quarterly reports on audit activities and risk management.
- Nomination and Remuneration Subcommittee: Building training programs in 2017; Proposing and advising the BOD on development of human resources and senior managers; Making quarterly HR report.

## 4. Resolutions of the Board of Directors (In 2017)

In 2017, the BOD conducted 08 face-to-face meetings and 10 meetings via email to resolve issues under the BOD's functions and authorities.

| No. | Resolution No.   | Date        | Content of Resolutions  |
|-----|------------------|-------------|---|
| 1.  | 001/2017/NQ.HĐQT | 19 Jan 2017 | Approving Report of BOD Secretary; Report of the BOM; Report of Subcommittees in 2016 and plan in 2017.<br>Approving the appointment of Chief Accountant, the supplement and restructuring the members of Subcommittees under the BOD. Approval of the contents for preparation of the 2016 AGM and reward for outstanding teams and individuals in 2016. |

| No. | Resolution No.   | Date        | Content of Resolutions  |
|-----|------------------|-------------|---|
| 2.  | 002/2017/NQ.HĐQT | 24 Mar 2017 | <p>Approving business targets in 2017.</p> <p>Approving the resignation of Mr. Shuhei Tabata as an independent BOD member from 24 Mar 2017,</p> <p>Approval of the personnel for the Internal Audit Committee and Fuji Medic subsidiary.</p> <p>Approval of budget limit and credit limit in 2017.</p> <p>Approving the notice to convene the General Meeting of Shareholders and contents to send invitations to shareholders.</p>   |
| 3.  | 003/2017/NQ.HĐQT | 03 Apr 2017 | <p>Approving the contents and assignment to report at the 2016 AGM.</p>   |
| 4.  | 004/2017/NQ.HĐQT | 17 Apr 2017 | <p>Approval of Report of BOD Secretary; Report of the BOM; Report of Subcommittees in Q1/2017 and plan in Q2/2017.</p> <p>Approval of profit distribution in 2016 and profit distribution plan in 2017 of wholly-owned subsidiaries of DHG Pharma.</p> <p>Approving replacement of statements and amendment of the draft Resolution of 2016 AGM.</p> <p>Approving the extension of time for the position of General Director to 31 Aug 2017.</p> <p>Other contents under the BOD's authorities.</p>                             |
| 5.  | 005/2017/NQ.HĐQT | 18 Apr 2017 | <p>Implementing resolution of 2016 AGM dated 18 Apr 2017.</p>   |
| 6.  | 006/2017/NQ.HĐQT | 03 May 2017 | <p>Appointment of Ms. Le Thi Hong Nhung as Finance Director from 03 May 2017.</p> <p>Reaching a consensus on the selection of PwC as an independent auditor for financial statements in 2017.</p> <p>Other contents within the BOD's authorities.</p>   |
| 7.  | 007/2017/NQ.HĐQT | 13 May 2017 | <p>Approving the extension of time for the position of Deputy General Directors to 31 Aug 2017.</p>   |
| 8.  | 008/2017/NQ.HĐQT | 17 Jun 2017 | <p>Approval of organization of General Meeting of Shareholders by solicitation of written consent in 2017.</p> <p>Changing policy from the dissolution of TOT Pharmaceutical One Member Limited Company (TOT Pharma) to transfer policy of the whole charter capital of TOT Pharma.</p> <p>Approval of an increase in ownership ratio of DHG Pharma in SH Pharmaceutical Joint Stock Company (SH Pharma) from 51% to 100%.</p> <p>Approving the contents related to the 2016 AGM of SH Pharma and Vinh Hao Algae Processing</p> |

| No. | Resolution No.   | Date        | Content of Resolutions   |
|-----|------------------|-------------|--|
|     |                  |             | Joint Stock Company (SPIVIHA).   |
| 9.  | 009/2017/NQ.HĐQT | 14 Jul 2017 | <p>Approving the documents submitted to the General Meeting of Shareholders by solicitation of written consent for the 1<sup>st</sup> time in 2017.</p> <p>Approval of the dissolution of DHG Travel One Member Limited Company (the time of dissolution 31 Dec 2017)</p> <p>Approval the issuance of shares to increase charter capital from owner's equity, and the supplement of business lines of DHG Pharmaceutical One Member Limited Company.</p> <p>Other contents within the BOD's authorities.</p>   |
| 10. | 010/2017/NQ.HĐQT | 28 Jul 2017 | <p>Approving Report of the BOD's Secretary; Report of the BOM; Report of Subcommittees in Q2/2017 and plan in Q3/2017.</p> <p>Reaching a consensus on the additional election of Ms. Dang Thi Thu Ha as a BOD member Term III (2014 – 2018).</p> <p>Approval of termination of authorized representative of capital contribution of DHG Pharma at TOT Pharma.</p> <p>Implementing resolution of General Meeting of Shareholders by solicitation of written consent for the 1<sup>st</sup> time in 2017, and other contents within the BOD's authorities.</p>   |
| 11. | 011/2017/NQ.HĐQT | 23 Aug 2017 | <p>Approving the appropriation of Remuneration and Bonus Fund of the BOD and BOS to reward outstanding individuals and teams on the occasion of the Company's birthday in 2017.</p> <p>Approval of resignation of Mdm. Pham Thi Viet Nga as General Director and Legal Representative from 01 Sept 2017.</p> <p>Approval of extension of time for the position of Deputy General Director in charge of Finance and Support and Deputy General Director in charge of Production and Supply chain.</p> <p>Approving the advance dividend phase 1/2017, advance rate: 10% of par value.</p> <p>Approval of the contents of General Meeting of Shareholders by solicitation of written consent for the 2<sup>nd</sup> time in 2017, and other contents within the BOD's authorities.</p> |

| No. | Resolution No.   | Date         | Content of Resolutions  |
|-----|------------------|--------------|---|
| 12. | 012/2017/NQ.HĐQT | 25 Aug 2017  | Approval of the appointment of Mr. Doan Dinh Duy Khuong as the Acting General Director and Legal Representative within 6 months starting from 01 Sept 2017.   |
| 13. | 013/2017/NQ.HĐQT | 01 Sept 2017 | Approval of the employment termination of Functional Directors who reach mandatory retirement age, and the regime of Acting General Director.   |
| 14. | 014/2017/NQ.HĐQT | 28 Sept 2017 | Approving the documents of General Meeting of Shareholders shareholders by solicitation of written consent for the 2 <sup>nd</sup> time in 2017.  |
| 15. | 015/2017/NQ.HĐQT | 25 Oct 2017  | <p>Appointment of Mr. Tomoyuki Kawata as the Deputy General Director in charge of production, supply chain, training and technology transfer of DHG Pharma within one year from 25 Oct 2017.</p> <p>Approving Report of the BOD's Secretary; Report of the BOM, Report of Subcommittees in 9Ms/2017 and plan in Q4/2017.</p> <p>Approval of transfer of profit after tax in 6Ms/2017 of wholly-owned subsidiaries to DHG Pharma, and other contents within the BOD's authorities.</p>   |
| 16. | 016/2017/NQ.HĐQT | 25 Oct 2017  | Implementing resolution of the General Meeting of Shareholders by solicitation of written consent for the 2 <sup>nd</sup> time in 2017. Appointment of Mr. Nguyen Chi Thanh as the BOD Chairman Term III (2014 – 2018) from 26 Oct 2017.  |
| 17. | 017/2017/NQ.HĐQT | 14 Dec 2017  | Approving the advance dividend phase 2/2017, advance rate: 15% of par value.  |
| 18. | 018/2017/NQ.HĐQT | 29 Dec 2017  | <p>Appointment of Ms. Dang Thi Thu Ha as the Head of Audit and Risk Management Subcommittee from 01 Jan 2018.</p> <p>Appointment of Mr. Atsushi Toyoshima as Supply Chain Director within 01 year from 01 Jan 2018.</p> <p>Appointment of Ms. Le Thi Hong Nhung as the person in charge of Corporate Governance since 01 Jan 2018.</p> <p>Restructuring BOD Secretariat from 01 Jan 2018.</p> <p>Approving the profit distribution of wholly-owned subsidiaries of DHG Pharma in 2017.</p> <p>Approval of report on remuneration fund of the BOD and BOS in 2017 and plan for 2018.</p> <p>Approval of budget limit and credit limit in 2018.</p> |

### III. The Board of Supervisory (In 2017):

#### 1. Information about members of the Board of Supervisory

| No. | BOS Members            | Positions | Starting date to be a BOS Member | Meeting attendance | Rate | Reason for absence |
|-----|------------------------|-----------|----------------------------------|--------------------|------|--------------------|
| 1.  | Mr. Tran Quoc Hung     | Head      | 08 Sept 2004                     | 04/04              | 100% |                    |
| 2.  | Ms. Nguyen Phuong Thao | Member    | 26 Apr 2012                      | 04/04              | 100% |                    |
| 3.  | Mr. Dinh Duc Minh      | Member    | 28 Apr 2014                      | 04/04              | 100% |                    |

#### 2. Supervising activities of the BOS over the BOD, the BOM, and shareholders

- The BOS attended all meetings of the BOD, contributed ideas according to the functions and duties of the BOS.
- Periodically, the BOS send the written reports and recommendations to the BOD and BOM. All these recommendations of the BOS are recognized and implemented.

#### 3. Coordination between the BOS on the activities of the BOD, the executive BOM, and other managers

- In 2017, the BOS was facilitated by the BOD and BOM to provide sufficient information on the company's business and financial status.
- The BOS, BOD, and BOM closely cooperate to timely solve the obstacles in the inspection and control process of the BOS.

#### 4. Other activities of the BOS (if any)

- The BOS reviews and recommends an independent audit firm to the AGM for approval.

### IV. Corporate Governance Training:

Members of the BOD, members of the BOS, BOM and BOD Secretariat, participated in corporate governance training courses as regulated. There are also some members who are waiting for the training courses to suit the time and place of the members.

### V. List of related persons of the Company in accordance with Clause 34, Article 6 of the Law on Securities and transactions between the Company's related persons with the Company itself (Report in 2017)

#### 1. List of related persons of the Company

*Annex I: List of related persons of the Company has been enclosed.*

#### 2. Transactions between the Company and its related persons; Or between the company with major shareholders, internal persons, related persons of internal persons: Nil

#### 3. Transactions between the Company's internal persons, related persons of internal persons with subsidiaries and the company controlled by DHG Pharma: Nil

**4. Transactions between the Company and other subjects:**

- 4.1. Transactions between the Company and the company in which the BOD members, BOS members, General Director were or have been founding members or BOD members, Executive General Director in recent three (03) years (calculated from the time of making the report): Nil (excluding transactions between DHG Pharma and DHG Pharma's subsidiaries)
- 4.2. Transactions between the company and the company in which a related person of the BOD member, BOS member, Executive Director (General Director) is a member of the BOD, Executive Director (General Director): Nil
- 4.3. Other transactions of the company (if any) can bring material or non-material benefits to BOD members, BOS members, Executive Director (General Director): Nil

**VI. Transactions between internal shareholders and related persons (In 2017):**

**1. List of internal persons and related persons of internal persons:**

*Annex II: List of internal persons and related persons of internal persons has been enclosed.*

**2. Transactions between internal persons and related persons to the company's shares:**

Trade Union of DHG Pharma registered to purchase shares of DHG Pharma (Stock ticker: DHG), number of shares: 150,000 shares, from 20 Nov 2017 to 19 Dec 2017. But this could not be done because the price did not meet expectations.

**VII. Other issues to be noted:**

In 2017, the Company issued shares to increase charter capital from owners' equity (bonus shares at the ratio of 2:1). The issuance result is as follows:

- Number of shares before issuance: 87,164,330 shares
- Total number of shares after issuance: 130,746,071 shares
- Charter capital before issuance: VND 871,643,300,000
- Charter capital after issuance: VND 1,307,460,710,000

**Recipients:**

As above;  
Archived: AT, FN

**CHAIRMAN OF  
THE BOARD OF DIRECTORS**

**NGUYEN CHI THANH**



**ANNEX I: LIST OF RELATED PERSONS OF THE COMPANY**

| No.                                      | Name of person/<br>organization | Securities<br>trading<br>account<br>(if any) | Position at the<br>company (if any)  | ID no./<br>Business<br>registration<br>no. | Issued date of<br>ID/ Business<br>registration | Issued place<br>of ID/<br>Business<br>registration | Address | Date of<br>starting to be<br>related person | Date of<br>ending to be<br>related<br>person | Reasons |
|--|---------------------------------|--|--|--|--|--|---------|---|--|---------|
| <b>I. Related persons of the company</b> |                                 |  |  |  |  |  |         |   |  |         |
| 1  | Hoang Nguyen Hoc                |  | BOD Chairman   |  |  |  |         | 28 Apr 2014                                 | 25 Oct 2017                                  |         |
| 2  | Nguyen Chi Thanh                |  | BOD Chairman   |  |  |  |         | 26 Oct 2017                                 |  |         |
| 3  | Le Dinh Buu Tri                 |  | BOD Vice Chairman  |  |  |  |         | 25 Apr 2009                                 | 25 Oct 2017                                  |         |
| 4  | Pham Thi Viet Nga               |  | BOD member   |  |  |  |         | 08 Sept 2004                                |  |         |
| 5  | Doan Dinh Duy Khuong            |  | BOD member<br>Acting General Director  |  |  |  |         | 29 Apr 2010                                 |  |         |
| 6  | Dang Thi Thu Ha                 |  | BOD member   |  |  |  |         | 28 Jul 2017                                 |  |         |
| 7  | Tran Chi Liem                   |  | BOD member   |  |  |  |         | 28 Apr 2014                                 |  |         |
| 8  | Shuheï Tabata                   |  | BOD member   |  |  |  |         | 28 Apr 2014                                 | 24 Mar 2017                                  |         |
| 9  | Jun Kuroda                      |  | BOD member   |  |  |  |         | 15 Aug 2016                                 |  |         |
| 10                                       | Le Chanh Dao                    |  | Deputy General Director  |  |  |  |         | 08 Sept 2004                                |  |         |
| 11                                       | Nguyen Ngoc Diep                |  | Deputy General Director  |  |  |  |         | 01 May 2012                                 |  |         |
| 12                                       | Tomoyuki Kawata                 |  | Deputy General Director  |  |  |  |         | 25 Oct 2017                                 |  |         |
| 13                                       | Tran Quoc Hung                  |  | Head of the BOS  |  |  |  |         | 08 Sept 2004                                |  |         |
| 14                                       | Nguyen Phuong Thao              |  | BOS member   |  |  |  |         | 26 Apr 2012                                 |  |         |
| 15                                       | Dinh Duc Minh                   |  | BOS member   |  |  |  |         | 28 Apr 2014                                 |  |         |
| 16                                       | Lam Dieu Phi                    |  | Marketing Director   |  |  |  |         | 01 May 2016                                 |  |         |
| 17                                       | Huynh Thi Kim Tui               |  | Distribution Center<br>Director  |  |  |  |         | 01 Jan 2017                                 |  |         |
| 18                                       | Le Thi Hong Nhung               |  | Finance Director –<br>BOD's Secretary -<br>Authorized person to<br>disclose information -<br>The person in charge of<br>Corporate Governance |  |  |  |         | 29 Oct 2010                                 |  |         |
| 19                                       | Ho Buu Huan                     |  | Chief Accountant   |  |  |  |         | 14 Jul 2016                                 |  |         |
| 20                                       | Atsushi TOYOSHIMA               |  | Supply Chain Director  |  |  |  |         | 01 Jan 2018                                 |  |         |

| No.                              | Name of person/<br>organization                                  | Securities<br>trading<br>account<br>(if any) | Position at the<br>company (if any)          | ID no./<br>Business<br>registration<br>no. | Issued date of<br>ID/ Business<br>registration | Issued place<br>of ID/<br>Business<br>registration | Address | Date of<br>starting to be<br>related person | Date of<br>ending to be<br>related person | Reasons |
|----------------------------------|--|--|--|--|--|--|---------|---|---|---------|
| <b>II. Related organizations</b> |  |  |  |  |  |  |         |   |   |         |
| 1                                | SH Pharmaceutical Joint<br>Stock Company                         |  | Subsidiary<br>(97.1% owned by DHG<br>Pharma) |  |  |  |         | 20 Jul 2007                                 |   |         |
| 2                                | DHG Travel One<br>Member Limited<br>Company                      |  | Subsidiary (wholly-<br>owned by DHG Pharma)  |  |  |  |         | 26 Dec 2007                                 | 31 Dec 2017                               |         |
| 3                                | DHG Packaging and<br>Printing 1 One<br>Member Limited<br>Company |  | Subsidiary (wholly-<br>owned by DHG Pharma)  |  |  |  |         | 28 Sept 2012                                |   |         |
| 4                                | DHG Pharmaceutical<br>One Member<br>Limited<br>Company           |  | Subsidiary (wholly-<br>owned by DHG Pharma)  |  |  |  |         | 16 Aug 2010                                 |   |         |
| 5                                | Fuji Medic Limited<br>Company                                    |  | Subsidiary (51% owned<br>by DHG Pharma)      |  |  |  |         | 27 Jul 2016                                 |   |         |

**ANNEX II: LIST OF INTERNAL PERSONS AND THEIR RELATED PERSONS**

| No.  | Name of person/organization                   | Securities trading account (if any) | Position at the company (if any)   | ID no./ Business registration no. | Issued date of ID/ Business registration | Issued place of ID/ Business registration | Address | Share ownership (*) | Share ownership ratio (*) | Note  |
|--|---|-------------------------------------|------------------------------------|-----------------------------------|--|---|---------|---------------------|---------------------------|---|
| 1  | Nguyen Chi Thanh                              |                                     | BOD Chairman                       |                                   |  |   |         | 22,650,495          | 17.32%                    | Individual ownership: 0 share; SCIC representative: 22,650,495 shares |
| <b>Related organizations of Mr. Nguyen Chi Thanh</b> |   |                                     |                                    |                                   |  |   |         |                     |                           |   |
| 1.1  | States Capital Investment Corporation         |                                     | Deputy General Director            |                                   |  |   |         | 56,626,237          | 43.31%                    |   |
| 1.2  | Tien Phong Plastic Joint Stock Company        |                                     | BOD Vice Chairman                  |                                   |  |   |         |                     |                           |   |
| 1.3  | Military Commercial Joint Stock Bank          |                                     | BOD member                         |                                   |  |   |         |                     |                           |   |
| 1.4  | Vietnam Television Tower Joint Stock Company  |                                     | BOD member                         |                                   |  |   |         |                     |                           |   |
| <b>Related individuals</b>                           |   |                                     |                                    |                                   |  |   |         |                     |                           |   |
| 1.1  | Nguyen Duy Dat                                |                                     | Father                             |                                   |  |   |         |                     |                           |   |
| 1.2  | Bui Hong Phuong                               |                                     | Wife                               |                                   |  |   |         |                     |                           |   |
| 1.3  | Nguyen Bui Minh Chau                          |                                     | Daughter                           |                                   |  |   |         |                     |                           |   |
| 1.4  | Nguyen Bui Thien Minh                         |                                     | Daughter                           |                                   |  |   |         |                     |                           |   |
| 1.5  | Nguyen Minh Trung                             |                                     | Younger brother                    |                                   |  |   |         |                     |                           |   |
| 1.6  | Nguyen Thi Bich Thuy                          |                                     | Younger sister                     |                                   |  |   |         |                     |                           |   |
| 2  | Pham Thi Viet Nga                             |                                     | BOD member                         |                                   |  |   |         | 302,737             | 0.23%                     |   |
| <b>Related individuals</b>                           |   |                                     |                                    |                                   |  |   |         |                     |                           |   |
| 2.1  | Bui Thi Tram                                  |                                     | Mother                             | -                                 |  |   |         |                     |                           |   |
| 2.2  | Huynh Thanh Nguyen                            |                                     | Husband                            |                                   |  |   |         |                     |                           |   |
| 2.3  | Pham Viet Thang                               |                                     | Younger sibling                    |                                   |  |   |         | 4                   | 0.00%                     |   |
| 2.4  | Pham Viet Bac                                 |                                     | Younger sibling                    |                                   |  |   |         |                     |                           |   |
| 2.5  | Pham Viet Ha                                  |                                     | Younger sibling                    |                                   |  |   |         |                     |                           |   |
| 2.6  | Huynh Thi Quynh Anh                           |                                     | Child                              |                                   |  |   |         | 14,551              | 0.01%                     |   |
| 2.7  | Huynh Tuan Anh                                |                                     | Child                              |                                   |  |   |         |                     |                           |   |
| <b>Related organizations</b>                         |   |                                     |                                    |                                   |  |   |         |                     |                           |   |
| 2.1  | DHG Pharmaceutical One Member Limited Company |                                     | Chairwoman of the Board of Members |                                   |  |   |         |                     |                           |   |

| No.                          | Name of person/organization                | Securities trading account (if any) | Position at the company (if any)              | ID no./ Business registration no. | Issued date of ID/ Business registration | Issued place of ID/ Business registration | Address | Share ownership (*) | Share ownership ratio (*) | Note  |
|------------------------------|--|-------------------------------------|---|-----------------------------------|--|---|---------|---------------------|---------------------------|---|
| 2.2                          | Fuji Medic Limited Company                 |                                     | Chairwoman of the Board of Members            |                                   |  |   |         |                     |                           |   |
| 3                            | Doan Dinh Duy Khuong                       |                                     | BOD member, Acting General Director           |                                   |  |   |         | 17,014,988          | 13.01%                    | Individual ownership: 27,117 shares; SCIC representative: 16,987,871 shares |
| <b>Related individuals</b>   |  |                                     |   |                                   |  |   |         |                     |                           |   |
| 3.1                          | Doan Vinh Quang                            |                                     | Father  |                                   |  |   |         | -                   |                           |   |
| 3.2                          | Nguyen Thi Ngoc Diep                       |                                     | Mother  |                                   |  |   |         | -                   |                           |   |
| 3.3                          | Ha Thi Anh Tuyet                           |                                     | Wife  |                                   |  |   |         | -                   |                           |   |
| 3.4                          | Doan Dinh Duy Nguyen                       |                                     | Older brother                                 |                                   |  |   |         | 1,780               | 0.00%                     |   |
| 3.5                          | Doan Dinh Duy Dong                         |                                     | Younger sibling                               |                                   |  |   |         | -                   |                           |   |
| 3.6                          | Doan Dinh Tuan Huy                         |                                     | Younger sibling                               |                                   |  |   |         | 3,129               | 0.00%                     |   |
| 3.7                          | Doan Ha Anh                                |                                     | Child   |                                   |  |   |         | -                   |                           |   |
| 3.8                          | Doan Van Anh                               |                                     | Child   |                                   |  |   |         | -                   |                           |   |
| <b>Related organizations</b> |  |                                     |   |                                   |  |   |         |                     |                           |   |
| 3.1                          | SH Pharmaceutical Joint Stock Company      |                                     | Chairman of the Board of Members of SH Pharma |                                   |  |   |         | -                   | -                         |   |
| 4                            | Dang Thi Thu Ha                            |                                     | BOD member                                    |                                   |  |   |         | 16,987,871          | 12.99%                    | Individual ownership: 0 share; SCIC representative: 16,987,871 shares       |
| <b>Related individuals</b>   |  |                                     |   |                                   |  |   |         |                     |                           |   |
| 4.1                          | Do Viet Dung                               |                                     | Husband                                       |                                   |  |   |         |                     |                           |   |
| 4.2                          | Do Viet Thang                              |                                     | Child   |                                   |  |   |         |                     |                           |   |
| 4.3                          | Do Khanh Giang                             |                                     | Child   |                                   |  |   |         |                     |                           |   |
| 4.4                          | Dinh Thi Van                               |                                     | Mother  |                                   |  |   |         |                     |                           |   |
| 4.5                          | Dang Hong Son                              |                                     | Older brother                                 |                                   |  |   |         |                     |                           |   |
| 4.6                          | Dang Thi Thu Thuy                          |                                     | Younger sister                                |                                   |  |   |         |                     |                           |   |
| <b>Related organizations</b> |  |                                     |   |                                   |  |   |         |                     |                           |   |
| 4.1                          | Vietnam Dairy Products Joint Stock Company |                                     | BOD member                                    |                                   |  |   |         |                     |                           |   |
| 4.2                          | Binh Minh Plastics Joint Stock Company     |                                     | BOD member                                    |                                   |  |   |         |                     |                           |   |
| 4.3                          | Vinacontrol Group Corporation              |                                     | BOD member                                    |                                   |  |   |         |                     |                           |   |

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|------------------------------|---|-------------------------------------|----------------------------------|-----------------------------------|--|---|---------|---------------------|---------------------------|------|
| 4.4                          | Benovas Oncology Joint Stock Company            |                                     | BOD member                       |                                   |  |   |         |                     |                           |      |
| <b>5</b>                     | <b>Tran Chi Liem</b>                            |                                     | <b>BOD member</b>                |                                   |  |   |         | <b>0</b>            |                           |      |
| <b>Related individuals</b>   |   |                                     |                                  |                                   |  |   |         |                     |                           |      |
| 5.1                          | Le Thi Xuan Mai                                 |                                     | Wife                             |                                   |  |   |         |                     |                           |      |
| 5.2                          | Tran Viet Hong                                  |                                     | Child                            |                                   |  |   |         |                     |                           |      |
| 5.3                          | Tran Thi Xuan Lan                               |                                     | Child                            |                                   |  |   |         |                     |                           |      |
| 5.4                          | Tran Thi Xuan Hoa                               |                                     | Child                            |                                   |  |   |         |                     |                           |      |
| 5.5                          | Tran Chi Dung                                   |                                     | Younger sibling                  |                                   |  |   |         |                     |                           |      |
| 5.6                          | Tran Thi Viet Trieu                             |                                     | Younger sibling                  |                                   |  |   |         |                     |                           |      |
| 5.7                          | Tran Thi U                                      |                                     | Younger sibling                  |                                   |  |   |         |                     |                           |      |
| <b>6</b>                     | <b>Jun Kuroda</b>                               |                                     | <b>BOD member</b>                |                                   |  |   |         | <b>0</b>            |                           |      |
| <b>Related individuals</b>   |   |                                     |                                  |                                   |  |   |         |                     |                           |      |
| 6.1                          | Akira Kuroda                                    |                                     | Father                           |                                   |  |   |         |                     |                           |      |
| 6.2                          | Akiko Kuroda                                    |                                     | Wife                             |                                   |  |   |         |                     |                           |      |
| 6.3                          | Namiko Kuroda                                   |                                     | Daughter                         |                                   |  |   |         |                     |                           |      |
| 6.4                          | Shin Kuroda                                     |                                     | Older brother                    |                                   |  |   |         |                     |                           |      |
| <b>Related organizations</b> |   |                                     |                                  |                                   |  |   |         |                     |                           |      |
| 6.1                          | Taisho Pharmaceutical Co., Ltd                  |                                     | Member of Executive Committee    |                                   |  |   |         | 31,956,096          | 24.44%                    |      |
| 6.2                          | Taisho Co., Ltd. Shanghai                       |                                     | Chairman                         |                                   |  |   |         |                     |                           |      |
| 6.3                          | Taisho Pharmaceutical California Inc.           |                                     | General Director                 |                                   |  |   |         |                     |                           |      |
| 6.4                          | Taisho Pharmaceutical (M) Sdn. Bhd.             |                                     | Director                         |                                   |  |   |         |                     |                           |      |
| 6.5                          | Taisho Pharmaceutical Asia (M) Sdn. Bhd.        |                                     | Director                         |                                   |  |   |         |                     |                           |      |
| 6.6                          | Taisho Pharmaceutical Singapore Private Limited |                                     | Executive Director               |                                   |  |   |         |                     |                           |      |
| 6.7                          | Osotspa Taisho Pharmaceutical Co., Ltd.         |                                     | Director                         |                                   |  |   |         |                     |                           |      |
| 6.8                          | PT. Taisho Pharmaceutical Indonesia Tbk         |                                     | General Director                 |                                   |  |   |         |                     |                           |      |

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|----------|---|-------------------------------------|----------------------------------|-----------------------------------|--|---|---------|---------------------|---------------------------|------|
| 6.9      | Taisho Vietnam Co., Ltd.                            |                                     | Director                         |                                   |  |   |         |                     |                           |      |
| 6.10     | HOE Pharma Holdings Sdn. Bhd.                       |                                     | Director                         |                                   |  |   |         |                     |                           |      |
| 6.11     | Compania Internacional de Comercio, S.A.P.I de C.V. |                                     | General Director                 |                                   |  |   |         |                     |                           |      |
| <b>7</b> | <b>Le Chanh Dao</b>                                 |                                     | <b>Deputy General Director</b>   |                                   |  |   |         | <b>122,337</b>      | <b>0.09%</b>              |      |
| 7.1      | Huynh Thi Xinh                                      |                                     | Mother                           |                                   |  |   |         | 0                   |                           |      |
| 7.2      | Phung Kim Phuong                                    |                                     | Wife                             |                                   |  |   |         | 768                 | 0.00%                     |      |
| 7.3      | Le Duc Thang  |                                     | Older brother                    |                                   |  |   |         | 0                   |                           |      |
| 7.4      | Le Van Huu  |                                     | Older brother                    |                                   |  |   |         | 0                   |                           |      |
| 7.5      | Le Thi Hien Luong                                   |                                     | Younger sibling                  |                                   |  |   |         | 0                   |                           |      |
| 7.6      | Le Thi Luong Hao                                    |                                     | Younger sibling                  |                                   |  |   |         | 0                   |                           |      |
| 7.7      | Le Kien Quoc  |                                     | Younger sibling                  |                                   |  |   |         | 0                   |                           |      |
| 7.8      | Le Khuong Ninh                                      |                                     | Younger sibling                  |                                   |  |   |         | 0                   |                           |      |
| 7.9      | Le Thi My Luong                                     |                                     | Younger sibling                  |                                   |  |   |         | 0                   |                           |      |
| 7.10     | Le Trung Luong                                      |                                     | Younger sibling                  |                                   |  |   |         | 0                   |                           |      |
| 7.11     | Le Phung Phuong Anh                                 |                                     | Child                            |                                   |  |   |         | 0                   |                           |      |
| 7.12     | Le Phung Duy Anh                                    |                                     | Child                            |                                   |  |   |         | 0                   |                           |      |
| <b>8</b> | <b>Nguyen Ngoc Diep</b>                             |                                     | <b>Deputy General Director</b>   |                                   |  |   |         | <b>7,368</b>        | <b>0.01%</b>              |      |
| 8.1      | Duong Thi Kim Tuyen                                 |                                     | Mother                           |                                   |  |   |         | 0                   |                           |      |
| 8.2      | Nguyen Kim Chi                                      |                                     | Older sister                     |                                   |  |   |         | 0                   |                           |      |
| 8.3      | Nguyen Ngoc Buu                                     |                                     | Younger sibling                  |                                   |  |   |         | 0                   |                           |      |
| 8.4      | Nguyen Van Thuan                                    |                                     | Husband                          |                                   |  |   |         | 0                   |                           |      |
| 8.5      | Nguyen Hoang Khang                                  |                                     | Child                            |                                   |  |   |         | 0                   |                           |      |
| 8.6      | Nguyen Ngoc Kim Khanh                               |                                     | Child                            |                                   |  |   |         | 0                   |                           |      |
| <b>9</b> | <b>Tomoyuki Kawata</b>                              |                                     | <b>Deputy General Director</b>   |                                   |  |   |         | <b>-</b>            | <b>0.00%</b>              |      |
| 9.1      | Yukiko KAWATA                                       |                                     | Wife                             |                                   |  |   |         | 0                   |                           |      |
| 9.2      | Risa KAWATA   |                                     | Child                            |                                   |  |   |         | 0                   |                           |      |
| 9.3      | Miki KAWATA   |                                     | Child                            |                                   |  |   |         | 0                   |                           |      |
| 9.4      | Yuri KAWATA   |                                     | Child                            |                                   |  |   |         | 0                   |                           |      |

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|------------------------------|---|-------------------------------------|----------------------------------|-----------------------------------|--|---|---------|---------------------|---------------------------|------|
| <b>10</b>                    | <b>Tran Quoc Hung</b>                         |                                     | <b>Head of the BOS</b>           |                                   |  |   |         | <b>18,679</b>       | <b>0.01%</b>              |      |
| 10.1                         | Nguyen Hong Nhung                             |                                     | Wife                             |                                   |  |   |         | 7                   | 0.00%                     |      |
| 10.2                         | Tran Quoc Dung                                |                                     | Child                            |                                   |  |   |         |                     |                           |      |
| 10.3                         | Tran Thi Thuy Dung                            |                                     | Child                            |                                   |  |   |         |                     |                           |      |
| 10.4                         | Pham Thi Cap                                  |                                     | Mother                           |                                   |  |   |         |                     |                           |      |
| 10.5                         | Tran Quoc Chau                                |                                     | Older brother                    |                                   |  |   |         |                     |                           |      |
| 10.6                         | Tran Thi Thu Huong                            |                                     | Older sister                     |                                   |  |   |         |                     |                           |      |
| 10.7                         | Tran Quoc Hung                                |                                     | Younger sibling                  |                                   |  |   |         |                     |                           |      |
| 10.8                         | Tran Thi Thu Hanh                             |                                     | Younger sibling                  |                                   |  |   |         |                     |                           |      |
| 10.9                         | Tran Thi Tuyet Hoa                            |                                     | Younger sibling                  |                                   |  |   |         |                     |                           |      |
| <b>11</b>                    | <b>Nguyen Phuong Thao</b>                     |                                     | <b>BOS member</b>                |                                   |  |   |         | -                   |                           |      |
| 11.1                         | Nguyen Ninh Thuc                              |                                     | Father                           |                                   |  |   |         |                     |                           |      |
| 11.2                         | Tran Thu Thuy                                 |                                     | Mother                           |                                   |  |   |         |                     |                           |      |
| 11.3                         | Nguyen Quynh Trang                            |                                     | Older sister                     |                                   |  |   |         |                     |                           |      |
| 11.4                         | Phan Hoang Giang                              |                                     | Husband                          |                                   |  |   |         |                     |                           |      |
| 11.5                         | Nguyen Duc Anh                                |                                     | Child                            |                                   |  |   |         |                     |                           |      |
| 11.6                         | Phan Dang Nhat Minh                           |                                     | Child                            |                                   |  |   |         |                     |                           |      |
| <b>12</b>                    | <b>Lam Dieu Phi</b>                           |                                     | <b>Marketing Director</b>        |                                   |  |   |         | <b>3,924</b>        | <b>0.00%</b>              |      |
| <b>Related individuals</b>   |   |                                     |                                  |                                   |  |   |         |                     |                           |      |
| 12.1                         | Lam Van Men                                   |                                     | Father                           |                                   |  |   |         |                     | 0.00%                     |      |
| 12.2                         | To Thuc Khen                                  |                                     | Mother                           |                                   |  |   |         |                     | 0.00%                     |      |
| 12.3                         | Le Thi Linh Chi                               |                                     | Wife                             |                                   |  |   |         |                     | 0.00%                     |      |
| 12.4                         | Lam Phuc Nguyen                               |                                     | Son                              |                                   |  |   |         |                     | 0.00%                     |      |
| 12.5                         | Lam Khoi Nguyen                               |                                     | Son                              |                                   |  |   |         |                     | 0.00%                     |      |
| 12.6                         | Lam Ngoc Chi                                  |                                     | Older sister                     |                                   |  |   |         |                     | 0.00%                     |      |
| 12.7                         | Lam Ngoc Huong                                |                                     | Older sister                     |                                   |  |   |         |                     | 0.00%                     |      |
| 12.8                         | Lam Ngoc Nhi                                  |                                     | Younger sibling                  |                                   |  |   |         |                     | 0.00%                     |      |
| 12.9                         | Lam Dieu Quoc                                 |                                     | Younger sibling                  |                                   |  |   |         |                     | 0.00%                     |      |
| <b>Related organizations</b> |   |                                     |                                  |                                   |  |   |         |                     |                           |      |
| 12.1                         | Vinh Hao Algae Processing Joint Stock Company |                                     | Chairman of the BOD              |                                   |  |   |         |                     |                           |      |

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|-----------|-----------------------------|-------------------------------------|--|-----------------------------------|--|---|---------|---------------------|---------------------------|------|
| <b>13</b> | <b>Huynh Thi Kim Tuoi</b>   |                                     | <b>Distribution Center Director</b>  |                                   |  |   |         | <b>20,878</b>       | <b>0.02%</b>              |      |
| 13.1      | Nguyen Xuan Huan            |                                     | Husband  |                                   |  |   |         | 3,552               | 0.00%                     |      |
| 13.2      | Nguyen Xuan Huy             |                                     | Child  |                                   |  |   |         |                     |                           |      |
| 13.3      | Nguyen Huynh Nhat Huyen     |                                     | Child  |                                   |  |   |         |                     |                           |      |
| 13.4      | Huynh Thi Cam Hong          |                                     | Older sister   |                                   |  |   |         |                     |                           |      |
| 13.5      | Huynh Thi Cam Thu           |                                     | Older sister   |                                   |  |   |         |                     |                           |      |
| 13.6      | Huynh Hoa Dan               |                                     | Older brother  |                                   |  |   |         |                     |                           |      |
| 13.7      | Huynh Thi Kim Viet          |                                     | Older sister   |                                   |  |   |         |                     |                           |      |
| 13.8      | Huynh Thi Kim Sang          |                                     | Older sister   |                                   |  |   |         | 15                  | 0.00%                     |      |
| 13.9      | Huynh Thi Kim Thanh         |                                     | Younger sibling  |                                   |  |   |         | 15                  | 0.00%                     |      |
| 13.10     | Huynh Thi Ut                |                                     | Younger sibling  |                                   |  |   |         |                     |                           |      |
| <b>14</b> | <b>Le Thi Hong Nhung</b>    |                                     | <b>Finance Director – BOD's Secretary - Authorized person to disclose information – The person in charge of Corporate Governance</b> |                                   |  |   |         | <b>6</b>            | <b>0.00%</b>              |      |
| 14.1      | Le Anh Tuan                 |                                     | Father   |                                   |  |   |         |                     | 0.00%                     |      |
| 14.2      | Tran Thi Theu               |                                     | Mother   |                                   |  |   |         |                     | 0.00%                     |      |
| 14.3      | Le Hoang Nam                |                                     | Husband  |                                   |  |   |         | 123                 | 0.00%                     |      |
| 14.4      | Le Thi Hong Gam             |                                     | Older sister   |                                   |  |   |         |                     | 0.00%                     |      |
| 14.5      | Le Thi Hong Voc             |                                     | Older sister   |                                   |  |   |         |                     | 0.00%                     |      |
| 14.6      | Le Thi Thanh Bac            |                                     | Older sister   |                                   |  |   |         |                     | 0.00%                     |      |
| 14.7      | Le Thu Hien                 |                                     | Younger sister   |                                   |  |   |         |                     | 0.00%                     |      |
| 14.8      | Le Nguyen Hung              |                                     | Child  |                                   |  |   |         |                     | 0.00%                     |      |
| 14.9      | Le Nhat Vuong               |                                     | Child  |                                   |  |   |         |                     | 0.00%                     |      |
| <b>15</b> | <b>Ho Buu Huan</b>          |                                     | <b>Chief Accountant</b>  |                                   |  |   |         | <b>9</b>            | <b>0.00%</b>              |      |
| 15.1      | Ho Cong Nghe                |                                     | Father   |                                   |  |   |         |                     |                           |      |
| 15.2      | Nguyen Thi Nghiep           |                                     | Mother   |                                   |  |   |         |                     |                           |      |
| 15.3      | Le Thi Kim Lai              |                                     | Wife   |                                   |  |   |         |                     |                           |      |
| 15.4      | Ho Le Kha Han               |                                     | Child  |                                   |  |   |         |                     |                           |      |
| 15.5      | Ho Le Khoi Nguyen           |                                     | Child  |                                   |  |   |         |                     |                           |      |



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|-----------|-----------------------------|-------------------------------------|----------------------------------|-----------------------------------|--|---|---------|---------------------|---------------------------|------|
| 15.6      | Ho Buu Ky                   |                                     | Younger sibling                  |                                   |  |   |         |                     |                           |      |
| 15.7      | Ho Mong Nuong               |                                     | Younger sibling                  |                                   |  |   |         |                     |                           |      |
| 15.8      | Ho Mong Kinh                |                                     | Younger sibling                  |                                   |  |   |         |                     |                           |      |
| 15.9      | Ho Mong Trac                |                                     | Younger sibling                  |                                   |  |   |         |                     |                           |      |
| <b>16</b> | <b>Atsushi TOYOSHIMA</b>    |                                     | <b>Supply Chain Director</b>     |                                   |  |   |         | -                   | <b>0.00%</b>              |      |
| 16.1      | TRAN THI KIM NGOC           |                                     | Wife                             |                                   |  |   |         |                     |                           |      |
| 16.2      | Fumihiko TOYOSHIMA          |                                     | Son                              |                                   |  |   |         |                     |                           |      |
| 16.3      | Namihiko TOYOSHIMA          |                                     | Son                              |                                   |  |   |         |                     |                           |      |

(\*) Number of shares owned is accordance with list of shareholders on 29 Dec 2017.

[NOTICE: This Convocation Notice is a translation of the Vietnamese language original for convenience purpose only, and in the event of any discrepancy, the Vietnamese language original shall prevail.]